

## **CALIFORNIA ANNUAL DECLARATION OF COMPLIANCE**

Prometheus Laboratories Inc. is committed to conducting its business ethically and in accordance with all applicable laws. To the best of its knowledge, and based upon a good faith understanding of the applicable statutory requirements, Prometheus Laboratories Inc. has undertaken various measures to comply with California law, and declares that it is in compliance, in all material respects, with its Comprehensive Compliance and Ethics Program and California Health and Safety Code §§ 119400-119402 as of January 1, 2018.

Prometheus Laboratories Inc. has adopted a Comprehensive Corporate Compliance and Ethics Program to reinforce our commitment to integrity in all aspects of our business. Our Compliance Program is consistent with applicable state and federal law, and the recommendations set forth in the Compliance Program Guidance for Pharmaceutical Manufacturers and Clinical Laboratories, published by the Office of Inspector General, U.S. Department of Health and Human Services (the HHS-OIG Guidance), the U.S. Federal Sentencing Guidelines, and the provisions of the Code on Interactions with Healthcare Professionals created by the Pharmaceutical Research and Manufacturers of America (PhRMA Code).

The Office of the Inspector General has recognized in its Compliance Program Guidance for Pharmaceutical Manufacturers, that the implementation of an effective compliance program cannot ensure the elimination of improper conduct from a pharmaceutical manufacturer. By making this declaration, Prometheus Laboratories Inc. is not declaring that in all circumstances it can prevent individual employees from conduct that deviates from its policies. To the extent that any deviations are identified, we are committed to taking necessary and appropriate corrective action. Prometheus Laboratories Inc. expects employees to comply with its Code of Ethical Business Conduct and Comprehensive Compliance and Ethics Program, and has made a good faith effort to enforce its provisions, prevent violations, and address inappropriate conduct that may occur.

Copies of this Declaration and the Comprehensive Corporate Compliance and Ethics Program may be obtained by contacting the Compliance Officer at 1-888-423-5227.

Version: January 1, 2018